P.O. Box 8024
Boston, MA 02266-8024
800-222-5852

Overnight Mail:
30 Dan Road
Canton, MA 02021-2809

www.PrincipalFunds.com

NON-QUALIFIED REDEMPTION REQUEST

- Use this form to make a one-time withdrawal from your **non-retirement account**. If you would like to establish a systematic withdrawal plan, please complete the *Systematic Withdrawal Plan (SWP) Request* form.
- **DO NOT** use this form for distributions from your Coverdell Educations Savings Account or any type of retirement account.

1. ACCOUNT INFORMATION

Please provide your current address and account information so we can ensure that your account is up to date.

Account Number	
First Name, Middle Initial, Last Name	
Social Security Number	Date of Birth (MM/DD/YYYY)
Legal Street Address (no P.O. Box Addresses), City, State, Zip	
Mailing Address (if different from above), City, State, Zip	
Contact Telephone Number	
E-mail Address	

2. REDEMPTION REQUEST

A. Please select one of the following distribution payment options, then complete Section 2B.

☐ Balance of Account
☐ Partial Distribution (choose one):
☐ Dollar amount _____
☐ Number of shares _____

B. Take my distributions from: ☐ Pro Rata* -OR- ☐ All funds in equal % -OR- ☐ As indicated below:

_____ Account Number _____ Portfolio/Fund Number $ _____ – OR – _____%

_____ Account Number _____ Portfolio/Fund Number $ _____ – OR – _____%

_____ Account Number _____ Portfolio/Fund Number $ _____ – OR – _____%

Principal Funds will redeem your non-covered shares first. The cost basis accounting method previously elected on your account will be used for the transaction initiated by this form for covered shares. If you have not previously elected a cost basis accounting method, the Fund Default Method of Average Cost will be used. If you would like to make or change your cost basis election, please contact your Investment Representative or Principal Funds. If no distribution method is selected, distributions will default to Pro Rata.

Note: If you have elected the Specific Lot ID (SLID) method, please attach a letter of instruction indicating the shares you would like to redeem. If the shares indicated in your letter of instruction are not sufficient to cover the redemption amount requested, your secondary method previously elected will be used for the remaining shares. If you have not indicated a secondary method, then the First In First Out (FIFO) method will be used to select the additional shares needed to complete your request.

*Pro Rata distribution will be taken from your account based on share balances at the time of request.

3. PROCEEDS INSTRUCTIONS

Principal Funds offers a variety of payment methods for your distribution. Please review and choose one of the options below. To verify if your account is already linked to a bank account, please call Principal Funds at 800-222-5852.

☐ Deposit into bank account ON FILE* ☐ ACH ☐ Wire ($10.00 fee)

☐ Deposit into bank account NOT ON FILE* (Please complete Section 4 and see the Medallion Signature Guarantee instructions in Section 5)
☐ ACH ☐ Wire ($10.00 fee)

☐ Mailing address of record**

☐ Overnight check to address of record ($15.00 fee)** NOTE: Please allow up to 2 days for an expedited request to a P.O. Box.

☐ Mail to address other than the address of record or alternate payee (Medallion Signature Guarantee required in Section 5)

Payee _____

Address _____

*If no option is elected, we will send the proceeds via ACH.

**A Medallion Signature Guarantee is required in Section 5 for distributions requested within 15 days of an address change.

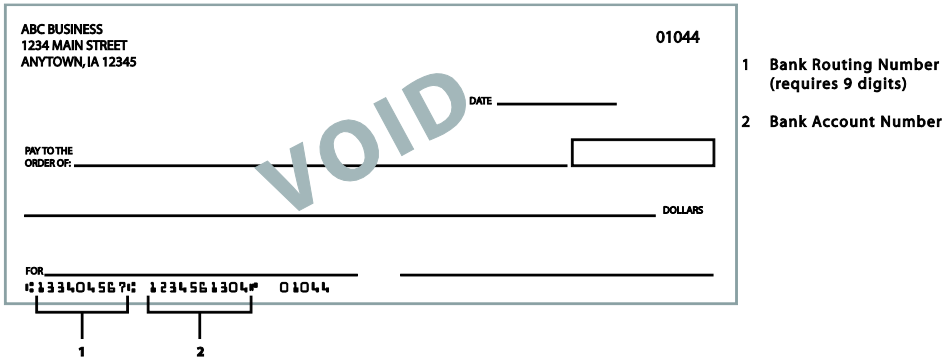
4. BANK INFORMATION

Automated Clearing House (ACH) redemptions are transferred free of charge on the second business day following the request. Bank Wire redemptions are transferred the following business day, if requested before the close of the New York Stock Exchange. Principal Funds charges a $10 fee each time funds are sent by Bank Wire. Your bank may also charge a fee. It may take additional business days for your bank account to reflect this activity.

Bank Name	ABA Routing Number	Bank Account Number

☐ **Checking Account** (please tape a preprinted voided check below)

☐ **Savings Account** (please tape a preprinted deposit slip or proof of account* from your banking institution)



Proof of account must include bank account registration, bank account number, and ABA routing number on bank letterhead.

5. SIGNATURES

Principal Account Owner's Signature (MM/DD/YYYY)

Medallion Signature Guarantee:

Principal Account Owner's Signature (MM/DD/YYYY)

Medallion Signature Guarantee:

Bank Account Owner's First Name, Middle Initial, Last Name

Bank Account Owner's First Name, Middle Initial, Last Name

Bank Account Owner's Signature (MM/DD/YYYY)

Medallion Signature Guarantee:

Bank Account Owner's Signature (MM/DD/YYYY)

Medallion Signature Guarantee:

A Medallion Signature Guarantee stamp is required for each Principal Account Owner's signature if requesting:

- distributions within 15 days of an address change
- distributions totaling more than $100,000
- distributions to be mailed to an address other than the address of record
- distributions made payable to an individual/entity other than the Principal Account Owner
- distributions to a bank account NOT ON FILE, NO voided check/deposit slip is provided and there is NOT a common owner between the registrations of the Principal Account and the Bank Account (in this case, we also require a signature and a Medallion Signature Guarantee stamp for each Bank Account Owner)

The signature(s) must be guaranteed by a commercial bank, trust company, credit union, savings and loan, national securities exchange member, or brokerage firm that participates in a Medallion program recognized by the Securities Transfer Association. A signature guaranteed by a notary public or savings bank is not acceptable.