



**Principal Funds**  
 PO Box 8024  
 Boston, MA 02266-8024

**Overnight Mail:**  
 30 Dan Road  
 Canton, MA 02021-2809

800-222-5852  
 PrincipalFunds.com

## Automatic Exchange Election

- Use this form to add or make changes to your automatic exchange election on your **EXISTING** Principal Funds account.
- Exchanges must be within the same share class.
- If a fund is depleted the exchange will be stopped.
- Please type or print clearly.

### 1. Account Information

Please provide your current address and account information so we can ensure that your account is up to date.

Account Number

First Name, Middle Initial, Last Name

Social Security Number

Date of Birth (MM/DD/YYYY)

Legal Street Address (no P.O. Box Addresses), City, State, Zip

Mailing Address (if different from above), City, State, Zip

Contact Telephone Number

E-mail Address

### 2. Automatic Exchange Instructions

If you are directing your automatic exchange to a new Fund, the new Fund must meet the minimum initial investment requirements as detailed in the prospectus. If you are changing the date of your current automatic exchange plan to a date within the same month, your automatic exchange will not run twice for that month. If your automatic exchange falls on a weekend or holiday, your withdrawal will run on the previous business day. *If this form is received after your requested start date, your initial exchange will take place during your next available frequency date.*

Add       Delete       Change

<u>From Fund Name/Number</u>	<u>To Fund Name/Number</u>	<u>Start Date*</u> (MM/DD/YYYY)	<u>Frequency*</u> (M)onthly, (Q)uarterly (S)emiannually, (A)nnually	<u>Dollar Amount/Percentage</u> <u>(\$100 minimum per fund)</u>
				\$ _____ %
				\$ _____ %
				\$ _____ %
				\$ _____ %
				\$ _____ %
				\$ _____ %

\*If you do not select a frequency, Principal Funds will establish a monthly exchange. If you do not select a day, we will process your exchange on the 15th day of each period.

**Principal Funds will redeem your non-covered shares, if any, first. If covered shares are redeemed, the cost basis accounting method previously elected on your account will be used for the transaction initiated by this form. If you have not previously elected a cost basis accounting method, the Fund Default Method of Average Cost will be used. If you would like to make or change your cost basis election, please contact your Investment Representative or Principal Funds.**

**Note: If you have elected the Specific Lot ID (SLID) method, your secondary method previously elected will be used. If you have not indicated a secondary method, then the First In First Out (FIFO) method will be used to complete your request.**

### 3. Signatures

To act on this request form, all account owner(s) must sign below.

Principal Account Owner's Signature or Authorized Signer

Date (MM/DD/YYYY)

Principal Account Owner's Signature or Authorized Signer

Date (MM/DD/YYYY)