



**Principal Funds**  
 PO Box 8024  
 Boston, MA 02266-8024

**Overnight Mail:**  
 30 Dan Road  
 Canton, MA 02021-2809

800-222-5852  
 PrincipalFunds.com

## Systematic Withdrawal Plan (SWP) Request

- This option allows you to systematically withdraw funds from your **EXISTING** Principal Funds **non-retirement** account. DO NOT USE this form for retirement accounts. For distributions from a retirement account, please contact Principal Funds for the appropriate forms.
- To open a new mutual fund account, please contact your Investment Representative or Principal Funds for an *Account Application* and prospectus.
- Please type or print clearly.

### 1. Current Account Information

Please provide your current address and account information so we can ensure that your account is up to date.

Account Number(s)

Owner's First Name, Middle Initial, Last Name

Social Security Number \_\_\_\_\_ Date of Birth (MM/DD/YYYY) \_\_\_\_\_ (\_\_\_\_\_) \_\_\_\_\_  
 Contact Phone Number

Legal Street Address (no P.O. Box addresses) \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Mailing Address (if different from above) \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

### 2. Withdrawal Instructions

- Discontinue existing SWP for the following funds (enter fund name or fund number):**
- \_\_\_\_\_
- Update existing SWP or**
- Add new SWP**
- Please allow up to 3 business days if the proceeds are being sent electronically or up to 10 calendar days to receive a check. **Shares subject to a Contingent Deferred Sales Charge (CDSC) will be assessed a CDSC under the SWP, unless the account qualifies for a CDSC waiver.**
- If you are changing the date of your existing SWP to a date within the same month, your withdrawal will not run twice in that month. If your SWP date falls on a weekend or a holiday, your withdrawal will run on the previous business day.

Fund Name/Number	Dollar Amount (\$100 minimum per fund)	Frequency* (M)onthly, (Q)uarterly, (S)emiannually, (A)nnually	Start Date** (MM/DD/YYYY)
_____	\$ _____	_____	_____
_____	\$ _____	_____	_____
_____	\$ _____	_____	_____
_____	\$ _____	_____	_____

\* If no Frequency is selected, a monthly withdrawal will be established.  
 \*\*If no Start Date is selected, the withdrawal will be established on the 15th of each withdrawal period.

- Withdrawals to be directed to (choose one):**
- Mailing address of record
- Mail to address other than the address of record or alternate payee (**Medallion Signature Guarantee required in Section 4**)
- Payee \_\_\_\_\_
- Address \_\_\_\_\_
- Deposit into bank account ON FILE
- Deposit into bank account NOT ON FILE\* (**Please also complete Section 3 and provide Medallion Signature Guarantee in Section 4**)

Principal Funds will redeem your non-covered shares, if any, first. If covered shares are redeemed, the cost basis accounting method previously elected on your account will be used for the transaction initiated by this form. If you have not previously elected a cost basis accounting method, the Fund Default Method of Average Cost will be used. If you would like to make or change your cost basis election, please contact your Investment Representative or Principal Funds.

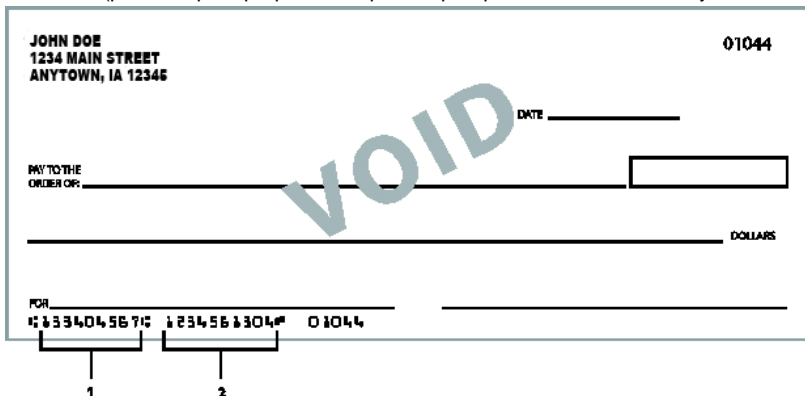
*Note: If you have elected the Specific Lot ID (SLID) method, your secondary method previously elected will be used. If you have not indicated a secondary method, then the First In First Out (FIFO) method will be used to complete your request.*

### 3. Bank Information

Automated Clearing House (ACH) redemptions are transferred free of charge on the second business day following the request. It may take additional business days for your bank account to reflect this activity. Please allow 3 business days for your bank account information to be established and validated. If the bank information below includes a common owner, the bank information will be added to your account and any existing bank information will be changed. If you do not wish to change/add this bank account information to your account(s), please attach a letter of instruction. Your request may require a Medallion Signature Guarantee Stamp. Please see section 4 for details.

Bank Name \_\_\_\_\_ ABA Routing Number \_\_\_\_\_ Bank Account Number \_\_\_\_\_

- Checking Account** (please tape a preprinted voided check below)
- Savings Account** (please tape a preprinted deposit slip or proof of account\* from your banking institution)



- 1 Bank Routing Number (requires 9 digits)
- 2 Bank Account Number

\*Proof of account must include bank account registration, bank account number, and ABA routing number on bank letterhead.

### 4. Signature(s)

I/We hereby ratify any instructions given on this account and any account into which I/we exchange and agree that neither the Funds, Principal Shareholder Services, Inc., nor their Agents will be liable for any loss, cost, or expense for acting upon such instructions (in writing or by telephone or internet, if telephone or internet transactions may be effective for this account) believed by it to be genuine and in accordance with the procedures described in the prospectus.

Principal Account Owner's Signature or Authorized Signer \_\_\_\_\_ (MM/DD/YYYY)

Principal Account Owner's Signature or Authorized Signer \_\_\_\_\_ (MM/DD/YYYY)

**Medallion Signature Guarantee:**

**Medallion Signature Guarantee:**

Bank Account Owner's First Name, Middle Initial, Last Name \_\_\_\_\_

Bank Account Owner's First Name, Middle Initial, Last Name \_\_\_\_\_

Bank Account Owner's Signature \_\_\_\_\_ (MM/DD/YYYY)

Bank Account Owner's Signature \_\_\_\_\_ (MM/DD/YYYY)

**Medallion Signature Guarantee:**

**Medallion Signature Guarantee:**

A Medallion Signature Guarantee stamp is required for each Principal Account Owner's signature if requesting:

- checks are to be mailed to an address other than the address of record or alternate payee
- directing a SWP to a bank account NOT ON FILE and a voided check/deposit slip is NOT included
- directing a SWP to a bank account NOT ON FILE and there is NOT a common owner between the registrations of the Principal Account and the Bank Account (in this case, we also require a signature and a Medallion Signature Guarantee stamp for each Bank Account Owner)

The signature(s) must be guaranteed by a commercial bank, trust company, credit union, savings and loan, national securities exchange member, or brokerage firm that participates in a Medallion program recognized by the Securities Transfer Association. A signature guaranteed by a notary public or savings bank is not acceptable.