



**Principal Funds**  
 PO Box 8024  
 Boston, MA 02266-8024

**Overnight Mail:**  
 30 Dan Road  
 Canton, MA 02021-2809

800-222-5852  
 PrincipalFunds.com

## Transfer On Death Affidavit

- Use this form to transfer ownership on an existing account registered as a Transfer on Death with multiple beneficiaries.
- Required documentation - A copy of the death certificate, a letter of instruction, and a completed Principal Funds Application for each person or entity listed in section 2. For states that require it, an inheritance tax waiver should also be submitted.
- Please type or print clearly.

### 1. Account Information

RE Account(s) of \_\_\_\_\_ I, \_\_\_\_\_, as  
 (Deceased owner) (Please type/print name)

- personal representative of the estate of the deceased owner, or  
 named beneficiary of the account(s) of the deceased owner

do hereby swear that:

\_\_\_\_\_ died on the \_\_\_\_\_ day of \_\_\_\_\_,  
 (Deceased owner)

and was owner of the following accounts:

Account number(s) \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

### 2. Beneficiary Information

The surviving primary beneficiaries on the above listed accounts are:

Name (first, middle initial, last)		Social Security Number	
Address	City	State	Zip Code
Name (first, middle initial, last)		Social Security Number	
Address	City	State	Zip Code
Name (first, middle initial, last)		Social Security Number	
Address	City	State	Zip Code
Name (first, middle initial, last)		Social Security Number	
Address	City	State	Zip Code

**3. Signature**

- To the best of my knowledge, all surviving primary beneficiaries are listed in item 2.
- To the best of my knowledge, the other assets of the estate of the deceased owner are sufficient to pay debts, taxes and expenses of administration, including statutory allowances to the surviving spouse and children (if any).

Print Name of Personal Representative or Beneficiary

Signature of Personal Representative or Beneficiary

Date

**X**

Medallion Signature Guarantee:

The signature of the Personal Representative or Beneficiary must be guaranteed by a commercial bank, trust company, credit union, savings and loan association, national securities exchange member or brokerage firm that participates in a Medallion program recognized by the Securities Transfer Association. A signature guaranteed by a notary public or savings bank is not acceptable.