



**Principal Funds**  
 PO Box 8024  
 Boston, MA 02266-8024

**Overnight Mail:**  
 30 Dan Road  
 Canton, MA 02021-2809

800-222-5852  
 PrincipalFunds.com

## Rights of Accumulation (ROA) or Statement of Intent (SOI)

- Use this form to make changes to your EXISTING Principal Funds account.
- For a new account, please contact your Investment Representative or call Principal Funds for an Account Application and prospectus.
- Certain individuals, groups, and/or entities, as defined in the current prospectus, may purchase shares at a reduced or eliminated sales charge. Please review the information below and indicate if applicable. **If you are investing \$50,000 or more, your purchases of Class A shares may qualify for a Rights of Accumulation (ROA) discount. Additionally, if you intend to make future purchases totaling \$50,000 or more, you may also be eligible for a reduced sales charge by entering into a Statement of Intent (SOI) agreement.** It is the responsibility of the shareholder and the Investment Representative to notify Principal Funds at the time of purchase if the initial sales charge should be reduced or eliminated. **Please review the prospectus for detailed information regarding your ROA and SOI options.**
- Please type or print clearly.

### 1. Current Account Information

Please provide your current address and account information so we can ensure that your account is up to date.

Fund/Account Number(s)

Owner's First Name, Middle Initial, Last Name

Social Security Number

Date of Birth (MM/DD/YYYY)

( )  
 Contact Telephone Number

Legal Street Address (no P.O. Box addresses)

City

State

Zip

Mailing Address (if different from above)

City

State

Zip

### 2. Rights of Accumulation (ROA)

Account Number

Fund Number

I/We own Class A, B, C, or J shares in other Principal Funds accounts (excluding initial purchases in Class A shares of Money Market Funds) which may entitle this purchase to have a reduced sales charge under the provisions in the current Principal Funds prospectus. My/Our other account numbers, including accounts held by my/our spouse(s), domestic partner(s), children under 25, dependents for whom I serve as legal guardian, and trusts created by or primarily for the benefit of such persons, are listed below:

Account Number

Account Number

Account Number

Account Number

### 3. *Statement of Intent (SOI)*

Account Number \_\_\_\_\_

Fund Number \_\_\_\_\_

I/We agree to the terms of the Statement of Intent and provisions for redemption of shares as set forth in the current prospectus. Although I/we am/are not obligated to do so, it is my/our intention to invest over a 13-month period in shares in one or more Portfolios/Funds (excluding initial purchases in Money Market Funds) in an aggregate amount at least equal to the amount indicated below.

\$50,000     \$100,000     \$250,000     \$500,000     \$1,000,000

### 4. *Signatures*

To act on this request form, all account owner(s) must sign below.

Principal Account Owner's/Authorized Signer's Signature \_\_\_\_\_

\_\_\_\_\_  
(MM/DD/YYYY)

Principal Account Owner's/Authorized Signer's Signature \_\_\_\_\_

\_\_\_\_\_  
(MM/DD/YYYY)