



Principal Funds
 PO Box 8024
 Boston, MA 02266-8024

Overnight Mail:
 30 Dan Road
 Canton, MA 02021-2809

800-222-5852
 PrincipalFunds.com

Rights of Accumulation (ROA) or Statement of Intent (SOI)

- Use this form to make changes to your EXISTING Principal Funds account.
- For a new account, please contact your Investment Representative or call Principal Funds for an Account Application and prospectus.
- Certain individuals, groups, and/or entities, as defined in the current prospectus, may purchase shares at a reduced or eliminated sales charge. Please review the information below and indicate if applicable. **If you are investing \$50,000 or more, your purchases of Class A shares may qualify for a Rights of Accumulation (ROA) discount. Additionally, if you intend to make future purchases totaling \$50,000 or more, you may also be eligible for a reduced sales charge by entering into a Statement of Intent (SOI) agreement.** It is the responsibility of the shareholder and the Investment Representative to notify Principal Funds at the time of purchase if the initial sales charge should be reduced or eliminated. **Please review the prospectus for detailed information regarding your ROA and SOI options.**
- Please type or print clearly.

1. Current Account Information

Please provide your current address and account information so we can ensure that your account is up to date.

Fund/Account Number(s)

Owner's First Name, Middle Initial, Last Name

Social Security Number

Date of Birth (MM/DD/YYYY)

()
 Contact Telephone Number

Legal Street Address (no P.O. Box addresses)

City

State

Zip

Mailing Address (if different from above)

City

State

Zip

2. Rights of Accumulation (ROA)

Account Number

Fund Number

I/We own Class A, B, C, or J shares in other Principal Funds accounts (excluding initial purchases in Class A shares of Money Market Funds) which may entitle this purchase to have a reduced sales charge under the provisions in the current Principal Funds prospectus. My/Our other account numbers, including accounts held by my/our spouse(s), domestic partner(s), children under 25, dependents for whom I serve as legal guardian, and trusts created by or primarily for the benefit of such persons, are listed below:

Account Number

Account Number

Account Number

Account Number

3. Statement of Intent (SOI)

Account Number _____

Fund Number _____

I/We agree to the terms of the Statement of Intent and provisions for redemption of shares as set forth in the current prospectus. Although I/we am/are not obligated to do so, it is my/our intention to invest over a 13-month period in shares in one or more Portfolios/Funds (excluding initial purchases in Money Market Funds) in an aggregate amount at least equal to the amount indicated below.

\$50,000 \$100,000 \$250,000 \$500,000 \$1,000,000

4. Signatures

To act on this request form, all account owner(s) must sign below.

Principal Account Owner's/Authorized Signer's Signature _____

(MM/DD/YYYY)

Principal Account Owner's/Authorized Signer's Signature _____

(MM/DD/YYYY)