



P.O. Box 8024  
Boston, MA 02266-8024  
800-222-5852

**Overnight Mail:**  
30 Dan Road  
Canton, MA 02021-2809

## Beneficiary Designation

- Use this form to make changes to your **EXISTING** Principal Funds **RETIREMENT** account only.
- If you wish to open a new account, contact your Investment Representative or call Principal Funds for an Account Application and prospectus.

### 1. Beneficiary Designation

CHECK ONE:  Add  Delete  Change

Account Number

Portfolio/Fund Number

Account Owners(s)

The following individual(s) or entity(ies) shall be the primary and/or contingent beneficiary(ies). If neither primary nor contingent status is indicated, the individual or entity will be deemed to be a primary beneficiary. If more than one primary/contingent beneficiary is designated and no distribution percentages are indicated, the beneficiaries will be deemed to own equal share percentages in the account.

If any primary or contingent beneficiary dies before me, his or her interest and the interest of his or her heir(s) shall terminate completely, and the percentage share of any remaining beneficiary(ies) shall be increased on a pro rata basis unless I have indicated otherwise by checking the "per stirpes" box. A per stirpes beneficiary designation means that the interest of any beneficiary(ies) in a particular class (primary or contingent) who predecease me will go to his or her heir(s) in equal shares unless otherwise specified. If no primary beneficiary(ies) survives me, the contingent beneficiary(ies) shall acquire the designated share of my account. If no beneficiary(ies) survive me, my beneficiary shall be my estate, or if none, the individual(s) who would have inherited my estate had one existed.

Check here for per stirpes beneficiary designation. By selecting this option you are negating the standard per capita beneficiary designation. Due to the provisions associated with this selection, we recommend that you discuss your decision with a qualified advisor. Additional information may be required from the executor or personal representative of your estate for final distributions.

To name additional beneficiaries, please check here, then complete and attach a new copy of this page.

Choosing beneficiaries and methods of distribution can be very complex and can have significant impacts on various tax situations. We strongly recommend working with a qualified legal or tax advisor when making your selections.

Primary  Contingent  
 Name of Beneficiary \_\_\_\_\_ Social Security Number / Taxpayer Identification Number \_\_\_\_\_

Relationship:  Spouse  Non-Spouse  Non-Person (trust, estate, charity, etc.) \_\_\_\_\_ %  
 Date of Birth (MM/DD/YYYY) \_\_\_\_\_ Share \_\_\_\_\_

Primary  Contingent  
 Name of Beneficiary \_\_\_\_\_ Social Security Number / Taxpayer Identification Number \_\_\_\_\_

Relationship:  Spouse  Non-Spouse  Non-Person (trust, estate, charity, etc.) \_\_\_\_\_ %  
 Date of Birth (MM/DD/YYYY) \_\_\_\_\_ Share \_\_\_\_\_

Primary  Contingent  
 Name of Beneficiary \_\_\_\_\_ Social Security Number / Taxpayer Identification Number \_\_\_\_\_

Relationship:  Spouse  Non-Spouse  Non-Person (trust, estate, charity, etc.) \_\_\_\_\_ %  
 Date of Birth (MM/DD/YYYY) \_\_\_\_\_ Share \_\_\_\_\_

Primary  Contingent  
 Name of Beneficiary \_\_\_\_\_ Social Security Number / Taxpayer Identification Number \_\_\_\_\_

Relationship:  Spouse  Non-Spouse  Non-Person (trust, estate, charity, etc.) \_\_\_\_\_ %  
 Date of Birth (MM/DD/YYYY) \_\_\_\_\_ Share \_\_\_\_\_

If you reside in a community or marital property state, you and/or your spouse must complete this section. (Complete only if you reside in AZ, CA, ID, LA, NV, NM, TX, WA, WI)

**Current Marital Status**

- I am not married – I understand that if I become married in the future, I must complete a new *Beneficiary Designation* form.
- I am married – I understand that if I choose to designate a primary beneficiary other than my spouse, my spouse must complete the Spousal Consent section below.

Special laws apply to the designation of an IRA beneficiary by a married person residing in a “community property” or “marital property” state. If you are married, reside in one of these states, and have not designated your spouse as your primary beneficiary, your spouse must sign the Spousal Consent stating that you may name someone other than your spouse as beneficiary. The Spousal Consent must be medallion signature guaranteed.

**Spousal Consent**

I am the spouse of the account owner named in this form. I acknowledge I have received a fair and reasonable disclosure of my spouse's property and financial obligations. Due to the important tax consequences of giving up my interest in this IRA account, I have been advised to see a tax advisor. I hereby consent to the beneficiary designation(s) indicated in the above section. I assume full responsibility for any adverse consequences that may result. No tax or legal advice was given to me by the Custodian, Principal Life Insurance Co., or its Agents.

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Signature of Spouse

Date (MM/DD/YYYY)

Medallion Signature Guarantee

**2. Signature**

To act on this request form, the account owner must sign below.

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Print or Type Name

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Signature

Date (MM/DD/YYYY)