



**Principal Funds**  
 PO Box 8024  
 Boston, MA 02266-8024

**Overnight Mail:**  
 30 Dan Road  
 Canton, MA 02021-2809

800-222-5852  
 PrincipalFunds.com

## Automatic Investment Plan Form

- Use this form to add, delete or change an Automatic Investment Plan for your **EXISTING** Principal Funds account.
- For a new account please contact your Investment Representative or call Principal Funds for an *Account Application* and prospectus.
- You will not receive a confirmation of AIP transactions however you will receive a quarterly statement and your bank statement will show your automatic deduction.
- Please Type or Print Clearly

### 1. Account Information

Please provide your current address and account information so we can ensure that your account is up to date.

Account Number

First Name, Middle Initial, Last Name

Social Security Number

Date of Birth (MM/DD/YYYY)

Legal Street Address (no P.O. Box Addresses), City, State, Zip

Mailing Address (if different from above), City, State, Zip

Contact Telephone Number

E-mail Address

### 2. Automatic Investment Instructions

Add       Delete       Change

If you do not select an investment frequency, Principal Funds will establish a monthly investment. If you do not select a day, we will process your investment on the 15th day of each investment period.

<u>Account Number</u>	<u>Fund Number</u>	<u>Start Date</u> (MM/DD/YYYY)	<u>Frequency</u> (M)onthly, (Q)uarterly (S)emiannually, (A)nnually	<u>Dollar Amount</u> ( <b>\$100 minimum per fund</b> )
				\$ _____
				\$ _____
				\$ _____
				\$ _____
				\$ _____
				\$ _____

*If this form is received after your requested start date, your initial investment will take place during your next available draw date*

**Note: If your chosen date falls on a weekend or holiday, your investment will run on the next business day. If the next business day is in the following month, then your investment will run on the preceding business day.**

### 3. Bank Information

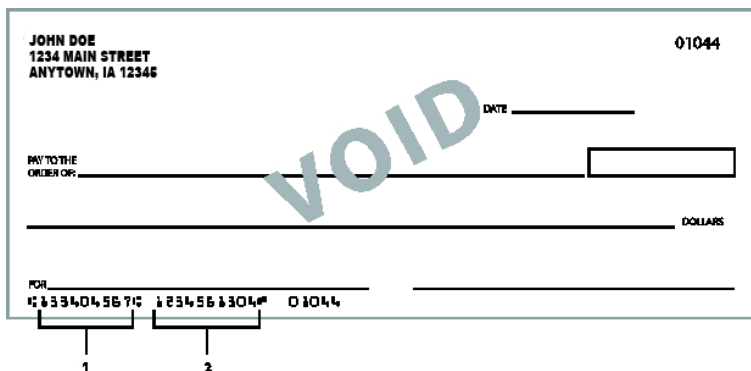
Automated Clearing House (ACH) purchases are transferred free of charge on the second business day following the request. It may take additional business days for your bank account to reflect this activity. Please allow 3 business days for your bank account information to be established and validated.

If the bank information below includes a common owner, the bank information will be added to your account and any existing bank information will be changed. If you do not wish to change/add this bank account information to your account(s), please attach a letter of instruction.

**\*Your request may require a Medallion Signature Guarantee Stamp. Please see section 4 for details.**

Bank Name \_\_\_\_\_ ABA Routing Number \_\_\_\_\_ Bank Account Number \_\_\_\_\_

- Checking Account** (please tape a preprinted voided check below)
- Savings Account** (please tape a preprinted deposit slip or proof of account\* from your banking institution)



- 1 Bank Routing Number (requires 9 digits)
- 2 Bank Account Number

\*Proof of account must include bank account registration, bank account number, ABA routing number and include a bank employee signature on bank letterhead.

### 4. Signature(s)

I/We hereby ratify any instructions given on this account and any account into which I/we exchange and agree that neither the Funds, Principal Management Corporation, nor their Agents will be liable for any loss, cost, or expense for acting upon such instructions (in writing or by telephone or internet, if telephone or internet transactions may be effective for this account) believed by it to be genuine and in accordance with the procedures described in the prospectus.

Principal Account Owner's/Authorized Signer's Signature \_\_\_\_\_ (MM/DD/YYYY)

Principal Account Owner's/Authorized Signer's Signature \_\_\_\_\_ (MM/DD/YYYY)

**Medallion Signature Guarantee:**

**Medallion Signature Guarantee:**

Bank Account Owner's First Name, Middle Initial, Last Name \_\_\_\_\_

Bank Account Owner's First Name, Middle Initial, Last Name \_\_\_\_\_

Bank Account Owner's Signature \_\_\_\_\_ (MM/DD/YYYY)

Bank Account Owner's Signature \_\_\_\_\_ (MM/DD/YYYY)

**Medallion Signature Guarantee:**

**Medallion Signature Guarantee:**

**A Medallion Signature Guarantee stamp is required for each Principal Account Owner's signature if a voided check/deposit slip is NOT included. In addition, if there is NOT a common owner between the registrations of the Principal Account and the Bank Account, we require signatures and Medallion Signature Guarantee stamps for each Principal Account Owner and each Bank Account Owner.** The signature(s) must be guaranteed by a commercial bank, trust company, credit union, savings and loan, national securities exchange member, or brokerage firm that participates in a Medallion program recognized by the Securities Transfer Association. A signature guaranteed by a notary public or savings bank is not acceptable.